



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mikayama, T., et al

Application No.: 09/844,684

Filing Date: April 27, 2001

For: HUMAN ANTI-CD40  
ANTIBODIES AND METHODS  
OF MAKING AND USING  
SAME

## CERTIFICATE OF MAILING

I hereby certify under 37 C.F.R. §1.8, that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, DC 20231, on December 9, 2002.  
Karyn F. Massie  
Karyn F. Massie

TRANSMITTAL LETTER

Assistant Commissioner of Patents  
Washington, D.C. 20231

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Sir:

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Transmitted herewith for filing are the following:

1. Reply/Amendment/Letter, responsive to Office Action dated September 23, 2002;
2. Response to Office Action;
3. Clean Copy of Claims;
4. Information Disclosure Statement;
5. PTO Form 1449;
6. Cited references;
7. Six Pages of Corrected drawings (Figs. 1A – 7F);
8. Filing Fee in the amount of \$580.00; and
9. Return post card.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith, if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire

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pendency to or to credit any overpayment to Deposit Account 03-3975.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By



John R. Wetherell, Jr., Ph.D.  
Registration No. 31,678

Date: 12/9/02  
11682 El Camino Real  
Suite 200  
San Diego, CA 92130  
Facsimile: (858) 509-4010  
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Direct Line: (858) 509-4022

I hereby certify that this correspondence and any paper referred to as being attached or enclosed) is being mailed via "First Class Mail" of the United States Postal Service on the date shown below in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

Dated: December 9, 2002

By:

Karyn F. Massie



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s): Mikayama, T., et al.  
Appln. No.: 09 | 844,684  
Series Code ↑ | Serial No. ↑

Group Art Unit 1644  
Examiner: Phillip Gambel  
Atty. Dkt. P 021286/0276339

M# Client Ref

Appln. Title: HUMAN ANTI-CD40 ANTIBODIES AND  
METHODS OF MAKING AND USING  
SAME

Filed: April 27, 2001

Hon. Commissioner of Patents  
Washington, D.C. 20231

Date: December 9, 2002

Sir:

REPLY/AMENDMENT/LETTER

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim								
A. <input checked="" type="checkbox"/> NOT made B. <input type="checkbox"/> Withdrawn C. <input type="checkbox"/> made herewith D. <input type="checkbox"/> made previously	For B & C See Required Separate Paper (Pat-256)							
	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm		
2. Total Effective Claims	30	**minus	41	0	x \$18/\$9 =	+ \$0	103/203	
3. Independent Claims	3	***minus	3	0	x \$84/\$42 =	+ \$0	102/202	
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)..... add					+ \$280/\$140 =	+ \$0	104/204	
5. Original due Date: October 23, 2002	<input type="checkbox"/> NONE							
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =					115/215	
	(2 mos)	\$400/\$200 =	+ \$400				116/216	
	(3 mos)	\$920/\$460 =					117/217	
	(4 mos)	\$1,440/\$720=					118/218	
	(5 mos)	\$1,960/\$980=					128/228	
7. Enter any previous extension fee paid since above original due date and subtract	- \$0							
8.						Extension Fee	+ \$400	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....						+ \$110/\$55	+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c), ..... add or if Rule 97(d) Request ..... add						+ \$180	+ \$180	126
						+ \$180		126
11. After-Final Request Fee per rules 129(a) and 17(r) .....						+ \$740/370	+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b) .....						x \$740/370 ea	+ \$0	149/249
13. Request for Continued Examination (RCE) .....						+ \$740/370	+ \$0	1179/1279
14. Petition fee for .....						+ \$0		
15.						TOTAL FEE =	\$580	
16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".								
17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.								
18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.								
FEE ENCLOSED								

Our Deposit Account No. 03-3975)  
(Our Order No. 021286 0276339

C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

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San Diego, CA 92130-2593  
Tel: (619) 234-5000

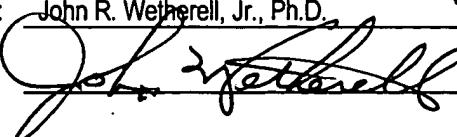
Atty/Sec: JRW/kfm

Bass, Berry & Brown LLP  
Intellectual Property Group

By Atty: John R. Wetherell, Jr., Ph.D.

Reg. No. 31,678

Sig:



Fax: (858) 509-4010  
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**NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments**